

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the PATENT application of

Alexander et al.

Serial No.: 09/237,969

Group Art Unit: 2761

Filed: January 27, 1999

For: Interface Device and Method for Interfacing Instruments  
To Medical Procedure Simulation Systems

**BOX MISSING PARTS**

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application dated February 11, 1999, enclosed for filing in the subject application are executed Declaration and Power of Attorney (4 pages) and Small Entity Declaration (2 pages) documents, a copy of Form PTO-1533, and Check #2588 in the amount of \$484.00 in payment of the subject application filing fee calculated as shown below.

FEE CALCULATION

					SMALL ENTITY FEE	\$380.00
					SMALL ENTITY SURCHARGE	\$ 65.00
TOTAL CLAIMS	11	-	20	=	Extra x\$ 9 =	\$ 0.00
INDEP. CLAIMS	4	-	3	=	1 Extra x\$39 =	\$ 39.00
						TOTAL FEE = \$484.00

The Commissioner is hereby authorized to charge any additional fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,

Stuart B. Shapiro

Stuart B. Shapiro

Registration No. 40,169

EPSTEIN, EDELL & RETZER  
1901 Research Boulevard, Suite 400  
Rockville, Maryland 20850-3164  
(301) 424-3640

Hand-delivered: 4/9/99

SECTOR/\$<sup>3</sup>



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ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the subject application are a Response to the Notice to File Missing Parts (2 pages), Declaration and Power of Attorney (4 pages), Small Entity Declaration (2 pages), Copy of Form PTO-1533 and Check #2588 in the amount of \$484.00 in payment of the subject application filing fee and surcharge.

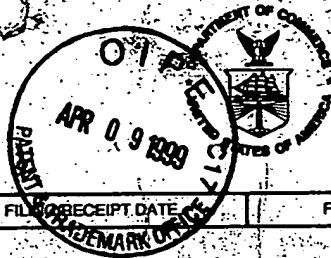
The Commissioner is hereby authorized to charge payment of any additional fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Respectfully submitted,

Stuart B. Shapiro

Registration No. 40,169

Hand-delivered: 4/9/99



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/237,969

01/27/99

ALEXANDER

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0232/0211

STUART B. SHAPIRO  
EPSTEIN EDELL & RETZER  
1901 RESEARCH BLVD  
SUITE 400  
ROCKVILLE MD 20850-3164

NOT ASSIGNED

**BEST AVAILABLE COPY**

2761

DATE MAILED:

02/11/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 768.

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 78, including any multiple dependent claim fees, are required.

\$ 78 for 1 independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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